Triple Point.

Triple Point Income Service

Application Form for an Advised Investor

June 2026

FCA Prescribed Risk Warning

Don't invest unless you're prepared to lose all the money you invest. This is a high risk investment.

Estimated reading time: 2 min
Due to the potential for losses, the Financial Conduct Authority (FCA) considers this holding to be very complex and high-risk.

What are the key risks?

1. You could lose all the money you invest

- If the business issuing the bonds you invest in through this Service fails, there is a high risk that you will lose all your money. Businesses like this often fail as they usually use risky investment strategies.
- Advertised rates of return aren't guaranteed. This is not a savings account. If the issuer doesn't pay as agreed, you could earn less money than expected or nothing at all. Generally, a higher advertised rate of return means a higher risk of losing your money. If it looks too good to be true, it probably is.
- These investments are sometimes held in an Innovative Finance ISA (IFISA). While any potential gains from your investment will be tax free, you can still lose all your money. An IFISA does not reduce the risk of the investment or protect you from losses.

2. You are unlikely to be protected if something goes wrong

- Protection from the Financial Services Compensation Scheme (FSCS), in relation to claims against failed regulated firms, does not cover poor investment performance. Try the FSCS investment protection checker at https://www.fscs.org.uk/investment-protection-checker.
- Protection from the Financial Ombudsman Service (FOS) does not cover poor investment performance. If you have a complaint against an FCA-regulated firm, FOS may be able to consider it. Learn more about FOS protection at https://www.financial-ombudsman.org.uk/consumers.

3. You are unlikely to get your money back quickly

- The companies that receive loan monies could face cash-flow problems that delay interest payments. If this occurred en masse, it could impact the ability of the Service to repay investors their money.
- You are unlikely to be able to cash in your investment early by selling it. You are usually locked in during the period agreed. In the rare circumstances where it is possible to sell your investment in a 'secondary market', you may not find a buyer at the price you are willing to sell.

4. This is a complex investment

- This investment has a complex structure based on other risky investments. A business that lends to, or invests in, other businesses or property can make it difficult for the investor to know where their money is going.
- This makes it difficult to predict how risky the investment is, but it will most likely be high.
- You may wish to get financial advice before deciding to invest.

5. Don't put all your eggs in one basket

- Putting all your money into a single business or type of investment for example, is risky. Spreading your money across different investments makes you less dependent on any one to do well.
- A good rule of thumb is not to invest more than 10% of your money in high-risk investments. (https://www.fca.org.uk/investsmart/5-questions-ask-you-invest).

If you are interested in learning more about how to protect yourself, visit the FCA's website at https://www.fca.org.uk/investsmart.

For further information about minibonds, visit the FCA's website at https://www.fca.org.uk/consumers/mini-bonds.

A guide to completing the application form

Applicants

Applicants should read the Information Memorandum dated May 2026 and the Investor Agreement dated June 2026 as amended from time to time, which in conjunction with this application form constitutes the Agreement.

Applicants should complete the following sections:

	Non-ISA Application	New ISA Application	ISA Transfer Application	New ISA & ISA Transfer Application
Section A	Yes	Yes	Yes	Yes
Section B	No	Yes	Yes	Yes
Section C	No	No	Yes	Yes
Section D	Yes	No	No	No
Section E	Yes	Yes	Yes	Yes
Section F	Adviser	Adviser	Adviser	Adviser

And make payment to the following bank account:

New-ISA Applications Only

Triple Point ISCA2
Sort Code 16-10-29
Account Number 11115632

Non-ISA Applications

Triple Point ISCA1
Sort Code 16-10-29
Account Number 11113400

Authorised Advisers

Authorised advisers should complete Section F.

Joint Applications

Joint applications are not possible, but each applicant can complete their own application form to participate in the Service.

Applications from Representatives including Power of Attorneys

Please complete the Application Form with the details of the Investor and the signature of the Representative. They should detail their capacity to sign as follows "[Power of Attorney] as power of attorney of [Name of Individual]". Please include a Certified copy of the power of attorney or court order.

You will receive email confirmation of acceptance by Triple Point of your Application.

We are required to complete verification of attorneys/deputies (in addition to the investor) using an electronic anti-money laundering check. To ensure we are able to complete this, please ensure their dates of birth and current residential addresses are provided, if these are not contained within the certified copy of the power of attorney/court order.

Money Laundering Regulations

Triple Point will carry out its own verification of investors using an electronic anti-money laundering check.

Please contact the Client Operations team at applications@triplepoint.co.uk for more information. We reserve the right to request any further additional information we deem necessary to confirm the identity, address, source of funds and wealth of all parties, and further we reserve the right to decline to act for any person where we consider that the information available is unsuitable or unreliable.

If at any time we have reasonable grounds for suspecting that the funds contributed may represent the proceeds of crime we reserve the right to hold the funds until sufficient information has been supplied to satisfy our anti-money laundering requirements. To the extent that the applicant has been identified as a politically exposed person or an associate of a politically exposed person, we may request additional information. These requirements apply at the time of investment and on an ongoing basis.

Where to send applications

We strongly recommend for security that a digital or scanned copy of the application form is sent by email ahead of hard copy documents and that an electronic payment is made.

Please email completed digital and scanned application forms to: applications@triplepoint.co.uk

For the hard copy of your application form and/or a cheque we recommend that this is hand delivered to:

Triple Point Client Operations
1 King William Street
London
EC4N 7AF

If you choose to use Royal Mail, you do so at your own risk, and we suggest that this is sent by special delivery only. Please retain any tracking details for future reference.

T. 020 7201 8990
E. applications@triplepoint.co.uk

Section A – Investor details

1. Personal details

Mr Mrs Miss Ms OR Title

Surname

Forename(s) (in full)

Permanent Address

Postcode

Email Address

Telephone Number

National Insurance Number

Date of Birth (DD/MM/YY)

Nationality

Country of residence for tax purposes

Have you previously invested with the Triple Point Group? Yes No

2. Investor's previous address

If you have lived at your current address for less than 12 months, please complete this section

Previous Address

Postcode

Date of Change (DD/MM/YY)

How long did you live at this address?

Years Months

3. Tax residency

Are you a tax resident or do you complete tax returns in a country other than the UK? Yes No

If yes, please provide details for all countries in which you are a tax resident.

Country	Tax reference number

If you submit tax forms in the United States, state if you are a US citizen (tick box).

If you are not applying for a new ISA or an ISA transfer, please move straight to section D

Section B - Please complete this section if applying for an ISA investment

1. Investment amount and adviser facilitated charging

I apply to subscribe for an Innovative Finance ISA for the current tax year and each subsequent year until further notice.

I wish to invest the following amount

New ISA Subscription Amount*
(minimum £1,000/maximum £20,000)

£

ISA Transfer Amount

£

Reinvestment of ISA Funds Held by Triple Point from a Matured Bond

£

Total Amount to be Invested

£

Initial Adviser Facilitated Charge

☐ **Option A** I do not require Triple Point to facilitate any payment from my investment

OR

☐ **Option B** I instruct Triple Point to pay the initial AFC set out below:

Select either

A set fee of £* _____ **OR** _____ **%***

* including VAT where applicable

of the total subscription amount*

Net investment amount

£

The amount being invested net of any fees deducted above.

Please ensure you complete this section

Source of funds

☐ Investment Proceeds ☐ Lifetime Savings

☐ Inheritance ☐ Sale of Property

☐ Matured investment held with Triple Point

Other _____

2. Income Service

Please select the duration of the Service you are applying for

☐ 1 Year ☐ 2 Years ☐ 3 Years

☐ 4 Years ☐ 5 Years

Please select when you would like your interest to be paid

☐ Monthly ☐ At maturity

☐ I confirm that I understand that by opting to receive Monthly Interest, interest will be paid directly to my nominated Bank Account and will no longer be held within an ISA wrapper.

Section B continued.

3. Payment

We strongly recommend that for security funds are transferred electronically.

Please mark with an ✓ as appropriate:

☐ I have instructed my bank to make an electronic payment to: **Triple Point ISCA2**

Sort Code 16-10-29
Account Number 11115632

(The Royal Bank of Scotland London PO Box 412, 62/63 Threadneedle Street London, EC2R 8LA)

Payment reference: First Name and Surname

☐ I attach a cheque payable to: **Triple Point ISCA2** and crossed "A/C Payee only' for the total amount due.

Cheques or banker's drafts must be drawn in sterling on an account at a branch of a bank or building society in the United Kingdom, the Channel Islands, or the Isle of Man and must bear the appropriate sorting code in the top right-hand corner.

If you do wish to send a cheque, you do so at your own risk, and we recommend that this is either (please mark which method you are using):

☐ **Hand delivered** to Triple Point Client Operations at 1 King William Street, London, EC4N 7AF.

OR

☐ **Paid in to any RBS or NatWest branch.** Please email us the details on both your cheque and bank deposit receipt. Without this information, there may be a delay in reconciling the funds, and after 10 working days, any unreconciled funds will be returned to the account they came from.

You should also keep a copy of the cheque and deposit receipt for your records.

If you choose to use Royal Mail we suggest that this is sent by special delivery only. Please retain any tracking details for future reference.

☐ I have completed the ISA Transfer Form on page 6 to transfer funds from my current ISA provider

☐ Triple Point holds my ISA funds for this investment from the maturity proceeds of a previously held Income Service ISA bond

4. Repayment

Please provide the bank account details below where the interest and principal should be paid if they are not to remain in the ISA wrapper:

Funds can only be paid into the bank account of the Investor.

Bank / Building Society	
Account Name	
Account Number	
Sort Code	

We will conduct an electronic verification check on the bank details. If this check is unsuccessful, we will require proof of bank details before payments can be made to the requested account. We can accept a copy of a bank statement dated within the last three months, or a voided cheque.

Section C - Please complete this section only if applying for an ISA transfer

1. Personal details

Mr Mrs Miss Ms OR Title

Surname

Forename(s) (in full)

Permanent Address

Postcode

Email Address

Telephone Number

National Insurance Number

Date of Birth (DD/MM/YY)

2. Your current ISA Manager's details

We will contact your existing ISA Manager on your behalf and arrange transfer of the requested balance.

Name of Existing ISA Manager

Account Number of Existing ISA

Address of Existing ISA Manager ISA Manager

Postcode

3. Please choose from the following

Tick as appropriate.

- I want to close this ISA and transfer the entire balance, including any accrued interest
- I want to transfer the current year's ISA subscription
- I want to transfer the ISA subscriptions from previous years
- I want to transfer the amount detailed in the box below

Amount to Transfer from Existing ISA

£

4. Transfer authority

I authorise my existing ISA, manager (as specified above) to transfer the ISA (account number above) to Triple Point Investment Management LLP.

I authorise my existing ISA manager to provide Triple Point Investment Management LP with any information, written or non-written, concerning the ISA and to accept any instructions from them relating to the ISA being transferred.

Where a period of notice is required for closure/part transfer of the existing ISA, I give my consent to either: (tick as appropriate)

1. Serve the full notice period before this instruction can be processed.

OR

2. Proceed immediately with the transfer and bearing any consequential penalty which may be applied.

I confirm that I understand that Triple Point Investment Management LLP only accept cash transfers. I confirm I understand that if I am transferring a Stocks and Shares ISA then my holdings must be sold before transferring funds.

Name

Signature

Sign here

Date (DD/MM/YY)

Section D - Please complete this section only if you are applying for a holding which is outside of an ISA

1. Investment amount and adviser facilitated charging

Investment Amount (minimum £10,000)

£

Initial Adviser Facilitated Charge

☐ **Option A** I do not require Triple Point to facilitate any payment from my investment.

OR

☐ **Option B** Please pay my/our adviser this fee I/We have agreed to pay as set out below:

Select either

A set fee of **£*** _____ **OR** _____ **%***

* including VAT where applicable

of the application amount above

Net investment amount

£

This is the amount being invested net of any fees deducted above.

Please ensure you complete this section

Source of funds

☐ Investment Proceeds ☐ Lifetime Savings

☐ Inheritance ☐ Sale of Property

☐ Matured investment held with Triple Point

Other _____

2. Income Service

Please select the duration of the Service you are applying for

☐ 1 Year ☐ 2 Years ☐ 3 Years

☐ 4 Years ☐ 5 Years

Please select when you would like your interest to be paid

☐ Monthly ☐ At maturity

3. Payment

We strongly recommend that for security funds are transferred electronically.

Please mark with an ✓ as appropriate:

☐ I have instructed my bank to make an electronic payment to: **Triple Point ISCA1**

Sort Code 16-10-29
Account Number 11113400

(The Royal Bank of Scotland London PO Box 412, 62/63 Threadneedle Street London, EC2R 8LA)

Payment reference: First Name and Surname

☐ I attach a cheque payable to: **Triple Point ISCA1** and crossed "A/C Payee only' for the total amount due.

Cheques or banker's drafts must be drawn in sterling on an account at a branch of a bank or building society in the United Kingdom, /the Channel Islands, or the Isle of Man and must bear the appropriate sorting code in the top right-hand corner.

If you do wish to send a cheque, you do so at your own risk, and we recommend that this is either (please mark which method you are using):

☐ **Hand delivered** to Triple Point Client Operations at 1 King William Street, London, EC4N 7AF.

OR

☐ **Paid in to any RBS or NatWest branch.** Please email us the details on both your cheque and bank deposit receipt. Without this information, there may be a delay in reconciling the funds, and after 10 working days, any unreconciled funds will be returned to the account they came from.

You should also keep a copy of the cheque and deposit receipt for your records.

If you choose to use Royal Mail we suggest that this is sent by special delivery only. Please retain any tracking details for future reference.

4. Repayment

Please provide the bank account details below where the interest and principal should be paid if they are not to remain in the ISA wrapper:

Funds can only be paid into the bank account of the Investor.

Bank / Building Society _____

Account Name _____

Account Number | | | | | | | | |

Sort Code | | | | | | |

We will conduct an electronic verification check on the bank details. If this check is unsuccessful, we will require proof of bank details before payments can be made to the requested account. We can accept a copy of a bank statement dated within the last three months, or a voided cheque.

Section E - Applicant signature

Signature

- I acknowledge receipt of the Triple Point Income Service Investor Agreement dated June 2026 and the Information Memorandum dated May 2025;
- I confirm that I have read and understood the risks as set out in the Information Memorandum and that the Triple Point Income Service is deemed to be a speculative illiquid investment;
- I confirm that I have read and understood the same and agree to be bound by them;
- I request and authorise you to register the investments in my name;
- The particulars I have provided in the Application Form are correct;
- I confirm that I am applying on behalf of myself and have completed the necessary due diligence with regards to the Triple Point Income Service as I consider satisfactory;
- In the event of an insolvency of any bank with which funds are deposited by Triple Point Investment Management LLP as receiving agent or custodian, Triple Point Investment Management LLP shall not be liable to any investor in the event of any restriction on its ability to withdraw such funds from the said bank which are beyond its reasonable control;
- I will notify Triple Point Investment Management LLP if I become a US Person, which includes individuals who are citizens of the United States of America and individuals who are tax residents in the United States of America;
- I confirm that I am over 18 years of age, and that I am seeking to benefit from the investment returns;
- I confirm that this investment will become one element of a wider portfolio of investments and that I am expecting my capital to be committed for term of the Triple Point Income Service;
- I consent to electronic anti-money laundering checks being carried out on an ongoing basis;
- I confirm that I will provide additional information or documentation requested by Triple Point Investment Management LLP to ensure compliance with the anti money laundering regulations as amended from time to time

These following declarations only apply if you are applying for an ISA:

I declare that:

- All subscriptions made, and to be made, belong to me;
- I confirm that I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA, and an Innovative Finance ISA in the same tax year;
- I subscribe to this Innovative Finance ISA, and
- I am resident in the United Kingdom for tax purposes or, if not a resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Triple Point Investment Management LLP if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I authorise Triple Point Investment Management

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash

AND

- To make on my behalf any claims to relief from tax in respect of ISA investments

I have read, understood and agree to the terms and conditions of the Innovative Finance ISA, and declare this application form has been completed to the best of my knowledge and belief.

Signature

Applicant Sign here

Date (DD/MM/YY)

Applicant checklist

I have completed all sections detailed in the table opposite and have:

- ☐ Provided my source of funds
- ☐ Completed the Adviser Facilitated Charging section
- ☐ Arranged for payment to Triple Point
- ☐ Signed and dated each relevant section

	Non-ISA Application	New ISA Application	ISA Transfer Application	New ISA & ISA Transfer Application
Section A	Yes	Yes	Yes	Yes
Section B	No	Yes	Yes	Yes
Section C	No	No	Yes	Yes
Section D	Yes	No	No	No
Section E	Yes	Yes	Yes	Yes

Section F - To be completed by your financial adviser

Authorised advice

I/We have undertaken an assessment of the applicant's expertise, experience, knowledge, financial circumstances and objectives which we believe to be adequate and have reached the conclusion that the Triple Point Income Service is suitable for them.

I/We note that the Income Service has been classed as a speculative illiquid security because the Service invests in bonds.

I/We have discussed the risks of investing with the applicant.

I/We confirm that 24 hours has passed since the investor first requested information about the Income Service.

I/We have provided the client with a personalised risk summary.

I/We are satisfied that the applicant meets the criteria for the categorisation marked in the boxes below, and they should be treated by Triple Point as a sophisticated or high net worth investor, as applicable.

Please complete section A or B

A) Sophisticated Investor

If meeting the criteria for a Sophisticated Investor

In the last 2 years has the investor:

☐ **Worked in private equity or in the provision of finance for small & medium enterprises**

What is/was the name of the business or organisation?

☐ **Been the director of a company with an annual turnover of at least £1 million**

What is/was the name of the company?

☐ **Made two or more investments in an unlisted company**

How many investments in unlisted companies have they made in the last two years?

☐ **Been a member of a network or syndicate of business angels for more than six months**

What is the name of the network or syndicate?

B) High Net Worth Investor

If meeting the criteria for a High Net Worth Investor

In the last financial year the investor had:

☐ **An annual income of £100,000 or more (income does NOT include any one-off pension withdrawals)**

And if so, please specify the investor's income (as defined above) to the nearest £10,000 in the last financial year

£

☐ **Net assets of £250,000 or more (Net assets do NOT include the investor's house (primary residence), their pension (or any pension withdrawals) or any rights under qualifying contracts of insurance**

And if so, please specify the investor's net assets (as defined above) to the nearest £100,000 in the last financial year

Section F continued.

Firm details

Firm Name

Firm Address*

Postcode

FCA Company No

* This address will be used for all correspondence relating to this application

Adviser details

Adviser Name

Personal FCA No

Email

Tel No

Stamp of Authorised Financial Adviser

Signed by Authorised Financial Adviser

Adviser sign here

Date (DD/MM/YY)

Administrator details

Name

Email

Tel No

Adviser checklist

- [ ] Ensure that you have selected how you have categorised the investor
- [ ] Ensure that you have completed, signed and dated section F

Money laundering regulations

Triple Point will carry out its own verification of applicants using an electronic anti-money laundering check.

Please contact the Client Operations team at **applications@triplepoint.co.uk** for more information.

We reserve the right to request any further additional information we deem necessary to confirm the identity, address, source of funds and wealth of all parties, and further we reserve the right to decline to act for any person where we consider that the information available is unsuitable or unreliable.

If at any time we have reasonable grounds for suspecting that the funds contributed may represent the proceeds of crime we reserve the right to hold the funds until sufficient information has been supplied to satisfy our anti-money laundering requirements. To the extent that the applicant has been identified as a politically exposed person or an associate of a politically exposed person, we may request additional information. These requirements apply at the time of investment and on an ongoing basis.

Certifying documents

Certified copies of original identity documents should be photocopies or scans, with the following certification wording added to each page: “Certified to be a true copy of the original as seen by [Adviser Name] on [date]”.

The document must be signed by an appropriate person, for example: **a chartered accountant, bank or solicitor, or persons detailed on the FCA’s register representing an authorised firm.**

In addition to the above statement, certified documents must be stamped with the official stamp of the officiating individual, including full name and address.